

COPY

Application Serial Number	10/802,185
Filing Date	March 17, 2004
First Named Inventor	Cheng
Group Art Unit	2813
Examiner Name	Thompson, Craig
Attorney Docket No.	ASC-025DV1C1
Patent No.	6,921,914
Issue Date	July 26, 2005

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] <input type="checkbox"/> Petition for Extension of Time <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input checked="" type="checkbox"/> Request for Certificate of Correction <input checked="" type="checkbox"/> Certificate of Correction	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below)
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Certificate of Correction
MAY 02 2007

CORRESPONDENCE ADDRESS	SIGNATURE BLOCK
Direct all correspondence to: Patent Administrator Goodwin Procter LLP Exchange Place Boston, MA 02109 Tel. No.: (617) 570-1000 Fax No.: (617) 523-1231 Customer No. 051414	Respectfully submitted, Date: April 27, 2007 Reg. No. 44,381 Tel. No.: (617) 570-1086 Fax No.: (617) 523-1231 Natasha C. Us Attorney for Applicants Goodwin Procter LLP Exchange Place Boston, MA 02109

MAY - 3 2007

FEE TRANSMITTAL

FY 2006

APR 30 2007

Complete if Known

Patent Number 6,921,914
Issue Date July 26, 2005
First Named Inventor Cheng
Group Art Unit 2813
Examiner Name Thompson, Craig
Attorney Docket No. ASC-025DV1C1

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 07-1700.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.
3. ☐ Applicant claims small entity status.

FEE CALCULATION

1. FILING/SEARCH/EXAM/SIZE FEES

Large Entity

Fee (\$)	Fee Description	Fee Paid
300	Utility filing fee	
500	Utility search fee	
200	Utility exam fee	
250	Utility size fee (each add'l 50 pgs. over 100)	
200	Design filing fee	
100	Design search fee	
130	Design exam fee	
250	Design size fee (each add'l 50 pgs. over 100)	

Total Claims - 20 = x \$ 50.00 =

Independent Claims - 3 = x \$200.00 =

☐ Multiple Dependent Claim(s), if any \$360.00 =

TOTAL:

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 0.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
Total	-	=	x \$ 50.00 =	
Indep.	-	=	x \$200.00 =	
<input type="checkbox"/> First Presentation of Multiple Dep. Claim			+ \$360.00 =	
TOTAL:			(\$)	
SMALL ENTITY DISCOUNT:			(\$)	
SUBTOTAL (2)				0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
120	60	Extension for reply within first month	
450	225	Extension for reply within second month	
1020	510	Extension for reply within third month	
1590	795	Extension for reply within fourth month	
2160	1080	Extension for reply within fifth month	
500	250	Notice of Appeal	
500	250	Filing a brief in support of an appeal	
1000	500	Request for oral hearing	
400	400	Petitions to the Commissioner (Gp. I)	
200	200	Petitions to the Commissioner (Gp. II)	
130	130	Petitions to the Commissioner (Gp. III)	
180	180	Submission of Information Disclosure Statement	
790	395	Filing a submission after final rejection (37 CFR 1.129(a))	
790	395	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	100.00
130	65	Submission of Terminal Disclaimer	
Other fee (Specify)			

SUBTOTAL (3) 100.00

SUBTOTAL (1) 0.00

SUBTOTAL (2) 0.00

SUBTOTAL (3) 100.00

TOTAL 100.00

CORRESPONDENCE ADDRESS


Direct all correspondence to:
Patent Administrator
Goodwin Procter LLP
Exchange Place
Boston, MA 02109
Tel. No.: (617) 570-1000
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Customer No. 051414

SIGNATURE BLOCK

Respectfully submitted,

Date: April 27, 2007

Reg. No.: 44,381
Tel. No.: (617) 570-1806
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Natasha C. Us
Attorney for the Applicants
Goodwin Procter LLP
Exchange Place
Boston, MA 02109



Attorney Docket No. ASC-025DV1C1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Letters Patent of:
Cheng et al.

Patent No. 6,921,914

Group No. 2813

Issued: July 26, 2005

Examiner: Thompson, Craig

Title: PROCESS FOR PRODUCING
SEMICONDUCTOR ARTICLE USING
GRADED EPITAXIAL GROWTH

**REQUEST FOR CERTIFICATE OF CORRECTION
PURSUANT TO 37 CFR 1.323**

Attention: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Upon reviewing the above-identified patent, Patentees noted that the title is incorrect, and that the government license information was inadvertently omitted from the specification. Please note the changes below to correct these errors.

On the Front Page:

Replace the current title with --SEMICONDUCTOR SUBSTRATE STRUCTURE--

In the Specification:

Column 1, between lines 14, ending "herein", and line 16, beginning
"BACKGROUND OF", insert the following section:

05/01/2007 MGE BREM1 00000025 6921914
01 FC:1011 100.00 OP

MAY - 8 2007

--GOVERNMENT LICENSE RIGHTS

This invention was made with government support under Contract Number N66001-00-1-8954, awarded by the Navy. The government has certain rights in the invention.--

The error was found in the application as filed by Applicants. Our check in the amount of \$100.00 covering the fee set forth in 37 CFR 1.20(a) is enclosed. Patentees believe that no additional fees are necessitated by the filing of this Certificate of Correction. However, if any additional fees are due, the Director is hereby authorized to charge any deficiency in the filed fees to our Deposit Account No. 07-1700, under Order No. ASC-025DV1C1.

The error now sought to be corrected is an inadvertent error the correction of which does not involve new matter or require reexamination.

A proposed Form PTO/SB/44 is attached, effecting such amendment. Patentees respectfully request that the Commissioner issue a Certificate of Correction reflecting these corrections as they appear on the attached form.

Date: April 27, 2007

Respectfully submitted,

By Natasha Us
Natasha Us

Registration No. 44,381
GOODWIN PROCTER LLP
Exchange Place
Boston, Massachusetts 02109
(617) 570-1000
Attorney for Patentees

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 6,921,914
DATED : July 26, 2005
INVENTOR(S) : Cheng et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Front page, replace current title with --SEMICONDUCTOR SUBSTRATE STRUCTURE--

Column 1, between lines 14, ending "herein.", and line 16, beginning "BACKGROUND OF", insert the following paragraph:

--GOVERNMENT LICENSE RIGHTS

This invention was made with government support under Contract Number N66001-00-1-8954, awarded by the Navy. The government has certain rights in the invention.--

MAILING ADDRESS OF SENDER: Patent Administrator
Goodwin Procter LLP
Exchange Place
Boston, MA 02109
Tel. No.: (617) 570-1000
Customer No. 051414

PATENT NO.: 6,921,914



Patent No. 6,921,914

Attorney Docket No. ASC-025DV1C1

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Attn: Certificate of Correction Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on April 27, 2007
Date



Signature

Linda M. Penta

Typed or printed name of person signing Certificate

Registration Number, if applicable

617-570-8248
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Form (1 page)
Fee Transmittal (1 page)
Request for Certificate of Correction (2 pages)
Certificate of Correction (1 page)
Check in the amount of \$100.00
Return Receipt Postcard